

CIN No:- L24230PB2006PLC030341  
GST No:- 02AAHCA5390H2ZT



Ref. No. ANG/23-24/BSE-126

Date...21-07-2023...

To

Department of Corporate Services,  
BSE Limited  
P J Towers, Dalal Street,  
Mumbai- 400001

Ref: BSE Security Code 540694

Sub: Annual Secretarial Compliance Report for the Financial Year 2022-23.

Dear Sir/ Madam,

Pursuant to Regulation 24A of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2023, issued by M/s Anjum Goyal & Associates, Practicing Company Secretaries.

Kindly acknowledge the receipt of the same.

Thanking You.

For **ANG Lifesciences' India Limited**

Rajesh  
Gupta



Rajesh Gupta  
Managing Director

# Anjum Goyal & Associates

## Company Secretaries

### Secretarial Compliance Report

ANG Lifesciences India Limited(CIN: L24230PB2006PLC030341)

For the Year Ended 31/03/2023

We Anjum Goyal & Associates, Practicing Company Secretaries have examined:

- all the documents and records made available to us, and explanation provided by ANG Lifesciences India Limited ("**the listed entity**"),
- the filings/ submissions made by the listed entity to the stock exchange,
- website of the listed entity,
- any other document/ filing, as may be relevant,

which has been relied upon to make this certification for the year ended 31/03/2023 ("**Review Period**") in respect of compliance with the provisions of

- The Securities and Exchange Board of India Act, 1992 ("**SEBI Act**") and the Regulations, circulars, guidelines issued there under; and
- The Securities Contracts (Regulation) Act, 1956 ("**SCRA**") rules made there under and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("**SEBI**");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018.
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not applicable to the company during reporting period.**
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable to the company during reporting period.**
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations 2014; **Not applicable to the company during reporting period.**
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable to the company during reporting period.**
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/guidelines issued there under;
- Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not applicable to the company during reporting period.**
- Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018
- SEBI (Delisting of Equity Shares) Regulations, 2009; **Not applicable to the company during reporting period.**
- SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- Other regulations as applicable and circulars/guidelines issued thereunder.

Based on the above examination, we hereby report that, during the Review Period, the compliance status of the listed entity is appended as below:

# 4, Banke Bihari Lane, Batala Road, Amritsar - 143 001



## Anjum Goyal & Associates

### Company Secretaries

Sr. No	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS
1.	<b>Secretarial Standards:</b> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI).	Yes	The Company has complied with the applicable secretarial standard issued by the ICSI
2.	<b>Adoption and timely updation of the Policies:</b> <ul style="list-style-type: none"> <li>All Applicable policies under SEBI Regulations are adopted with the approval of board of Directors of the listed entities.</li> <li>All the policies are in conformity with SEBI Regulations and have been reviewed &amp; updated on time, as per the regulations/circulars/guidelines issued by SEBI.</li> </ul>	Yes	The Company have updated all applicable policies under SEBI Regulations.
3.	<b>Maintenance and disclosures on website:</b> <ul style="list-style-type: none"> <li>The listed entity is maintaining a functional website.</li> <li>Timely dissemination of the documents/information under a separate section on the website.</li> <li>Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website.</li> </ul>	Yes	The Company have maintained fully functional website
4.	<b>Disqualification of Director:</b> None of the Director(s) of the company is/are disqualified under section 164 of the Companies Act, 2013 as confirmed by the listed entity.	Yes	None of the Director of the Company are disqualified under Section 164 of the Companies Act, 2013.
5.	<b>Details related to Subsidiaries of listed entities have been examined w.r.t.:</b> <p>a) Identification of material subsidiary companies</p> <p>b) Disclosure requirement of material as well as other subsidiaries.</p>	NA Yes	The company has one wholly owned subsidiary company but does not any material subsidiary for the period under review hence this clause will be not applicable to the company
6.	<b>Preservation of Documents:</b> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of Preservation of Documents and Archival Policy prescribed under SEBI LODR Regulations,2015	Yes	As per the confirmation by management to us that the company has complied With the SEBI Regulations for preserving and maintaining records as prescribed and has duly in place the said policy
7.	<b>Performance Evaluation:</b> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	The management has confirmed that the company has conducted performance evaluation of the Board, Independent Directors and the committee as prescribed in SEBI Regulations.
8.	<b>Related Party Transactions:</b> <p>(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p> <p>(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were</p>	Yes N.A.	The management has confirmed thatthe Company has obtained the prior approval of Audit Committee regarding related party transactions as

# Anjum Goyal & Associates

## Company Secretaries

	subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.		the concern document like notice of Audit committee meeting has been represented before us.
9.	<b>Disclosure of events or information:</b> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	Yes
10.	<b>Prohibition of Insider Trading:</b> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of insider Trading) Regulations, 2015.	See Status	As per Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015, the Company had not purchased the Structured Digital Database as on 31.03.2023
11.	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b> a) The company has received notice(s) from BSE regarding Non Compliance of Regulation 17(1) of SEBI LODR Regulations, 2015 pertaining to the composition of the Board during the year under review. b) No other additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	No	The company has received notice(s) from BSE regarding Non Compliance of Regulation 17(1) of SEBI LODR Regulations, 2015 pertaining to the composition of the Board during the year under review.
12.	<b>Additional Non-compliances, if any:</b> a) The company has received notice(s) from BSE regarding Non Compliance of Regulation 17(1) of SEBI LODR Regulations, 2015 pertaining to the composition of the board during the year under review.  b) No other additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	NA  See Status	NA  During the year 2022-23:-  Company received a notice regarding the non-compliance of composition o audit committee on the account filed CG report 31.03.2022in which by mistakenly, the date of resignation and appointment was not mentioned. Further we have filed the revised the CG report and made the waiver application to BSE which was approved on 22 <sup>nd</sup> November, 2022.  Further the company was delayed in filing of shareholding pattern for quarter 31.12.2023 on BSE portal and in this regarding company has paid the penalty of Rs. 4720/-

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMDI/114/2019 dated 18th October, 2019:

# Anjum Goyal & Associates

## Company Secretaries

S n	Particulars	Compliance Status (Yes/No/NA)	Observations/R emarks by PCS*
	<p>i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or</p> <p>ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or</p> <p>iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.</p>	NA	<p>During the period under review, no Auditor has resigned therefore the said clause is not applicable.</p>
2.	<b>Other conditions relating to resignation of statutory auditor</b>		
	<p>i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:</p> <p>a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.</p> <p>b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information / explanation sought and not provided by the management, as applicable.</p> <p>c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii. Disclaimer in case of non-receipt of information:</p> <p>The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards</p>		<p>The said clause is not applicable to the Company as no concerns were raised by Auditor to Audit Committee during the period under review.</p>

# Anjum Goyal & Associates

## Company Secretaries

	of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.	
3.	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.	During the period under review, no Auditor has resigned and therefore the said clause is not applicable to the Company.

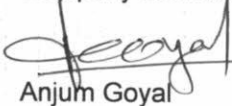
(a) (\*\*) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Management Response
-	-	-	-	-	-	-	-	-	-

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Management Response
-	-	-	-	-	-	-	-	-	-

For Anjum Goyal & Associates  
 Company Secretaries

  
 Anjum Goyal



Prop.  
 ACS No. 16176 CP No. 6211  
 UDIN: A016176E000643101  
 Place: Amritsar  
 Date: 19/07/2023